

LEGAL & DISCLAIMER

Authorisation

Quantec Capital Management (Proprietary) Limited ("Quantec") is a licensed Financial Services Provider FSP 42393, authorised and regulated by the Financial Sector Conduct Authority (www.fsca.co.za) to provide intermediary and advisory services in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002.

Quantec holds a Category I and Category II FSP licence and is authorised to provide such services for the following financial products:

Category Description	Advice	Intermediary
CATEGORY I		
Securities and Instruments: Shares	X	X
Securities and Instruments: Money market instruments	X	X
Securities and Instruments: Debentures and securitised debt	X	X
Securities and Instruments: Warrants, certificates and other instruments	X	X
Securities and Instruments: Bonds	X	X
Securities and Instruments: Derivative instruments	X	X
Participatory interests in Collective Investment Schemes	X	X
Participatory interest in a Hedge Fund	X	X
CATEGORY II - Discretionary FSP		
Securities and Instruments: Shares		X
Securities and Instruments: Money market instruments		X
Securities and Instruments: Debentures and securitised debt		X
Securities and Instruments: Warrants, certificates and other instruments		X
Securities and Instruments: Bonds		X
Securities and Instruments: Derivative instruments		X
Participatory interests in Collective Investment Schemes		X
Participatory interest in a Hedge Fund		X

Approved Investment Administrator

Quantec Capital Management is authorised by the Financial Sector Conduct Authority to act as an Investment Administrator (registration no. 24/628) in terms of section 13B of the Pension Funds Act, 24 of 1956.

Affiliations

Quantec Capital Management is a privately owned company and is not directly or indirectly affiliated to any other FSP or Financial Product Provider.

Quantec Capital Management does not own more than 10 % of issued shares directly or indirectly of any Financial Product provider.

Contact Details

We can be contacted at any of the following:

Business Address:

Loft 4, The Cellars
Village Lane
Noordhoek 7979

Postal Address:

PO Box 597
Noordhoek 7979

Telephone Number:

+27 (0)21 200 7668

Fax Number:

+27 (0)86 668 7719

Operating Hours:

09:00 - 17:00 (GMT+02:00)

Compliance Officer:

Johan van Zyl
Outsourced Compliance Services (Pty) Ltd
Practice no. 7154
T: +27(0)11 568 0925
E: johan@outsourcedcompliance.co.za

Information Officer:

Geoff Young
T: +27 (0)71 530 0653
E: geoff@quantec-capital.co.za

For more information, please download a copy of our PAIA Information Manual.

Conflicts of Interest

The Financial Advisory and Intermediary Services Act requires every financial services provider to adopt, implement and maintain a conflict of interest management policy. The purpose of the Policy is to set out the parameters for managing any conflicts of interest that may arise in the rendering of financial services to customers.

Should a material conflict of interest arise (as contemplated in our Conflict of Interest Management Policy), this will be disclosed to clients at the earliest opportunity.

From time to time Quantec Capital Management may receive some form of immaterial indirect consideration from product suppliers or the like. A Gift Register is available at our offices upon request.

For more information, please download a copy of our Conflict of Interest Policy.

Complaints

If you feel that your rights have been prejudiced, or you have been aggrieved in any way, you have the right to lodge a complaint. A copy of the complaints process is available upon request.

We will reply to any complaints that we receive. Complaints submitted in terms of the **Financial Advisory and Intermediary Services Act, 37 of 2002** should be in **writing** and can be addressed to us at any of the **above addresses**.

For further information, please download a copy of our Complaints Handling & Resolution Policy.

Insurance Cover

Quantec Capital Management has Professional Indemnity Insurance cover of R1 million, arranged with Camargue Underwriting Managers (Pty) Ltd, and also holds a Fidelity Guarantee in the form of a Financial Institutions Bond of R1 million.

Information on this Website

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Investment Disclosures

Market fluctuations and changes in rates of exchange or taxation may have an effect on the value, price or income of investments. Since the performance of financial markets

fluctuates, an investor may not get back the full amount invested. Past performance is not necessarily a guide to future investment performance.

Quantec Capital Management, whose success is measured by investment performance, is a registered financial services provider and is charged with the duty and responsibility to administer and manage investment portfolios as a fiduciary in utmost good faith and with all the due care, diligence and skill that can reasonably be expected of an expert investment manager, and generally to act in the best interests of clients at all times.

Personal trading by directors and staff is restricted to ensure that there is no conflict of interest.

Applications to invest in any product referred to on this website may only be made on the basis of the offer documentation relating to that specific investment. Should you wish to discuss a possible investment or to review our offer documentation, please contact us.

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No liability is accepted by Quantec Capital Management and no representations are made as to the currency, accuracy or completeness of documents or other material in any electronic newsletter.

Privacy Policy

Quantec Capital Management and its directors and employees respect client privacy and the confidentiality of client's personal information. Any personal information that is supplied to us via this website is only used and processed:

- for the purpose for which we request the information, as stated on the relevant web pages; and/or
- to the extent necessary to enable us to meet our obligations to clients; and/or
- to comply with clients' legal obligations.

We will not disclose client personal information to third parties, unless:

- we are permitted or required to do so by lawful process or a valid subpoena, or if a violation or suspected violation of the Prevention of Organised Crime Act or the Financial Intelligence Centre Act has occurred;
- it is in the public interest to do so;
- it is necessary to protect the rights of the company
- when engaging service providers who process personal information on our behalf; or
- clients have expressly authorised us to do so

Quantec Capital Management is registered as an Accountable Institution with the Financial Intelligence Centre.

If clients interact with us or browse our website anonymously, we may from time to time collect information such as email addresses. We may also use "cookies" to enable us to provide features that allow users to use this website more efficiently and effectively. "Cookies" are small pieces of data stored on the user's hard drive and will not be used by Quantec Capital Management to store personal information.

Quantec Capital Management may from time to time use client's personal information to compile profiles for statistical purposes. However, such profiles or statistical data cannot be linked to any identifiable individual.

Clients may access the information we hold about them and request to correct any errors or update information on the website.

We reserve the right to amend this online privacy policy at any time by posting the amended policy on our website. Unless otherwise stated, the current version will apply each time our website is accessed.

Liability and Indemnity

The contents of the website are a general outline of matters related to Quantec Capital Management and no representations or warranties are given or implied by Quantec Capital Management, its directors or employees. The information on this website is subject to discussion, completion and amendment and the contents of this website should not be relied upon.

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- do not warrant that functions available on this website will be uninterrupted or error free, or that defects will be corrected, or that the server that makes it available is free of viruses or bugs. Users of this website acknowledge that it is their responsibility to implement sufficient procedures and virus checks (including anti-virus and other security checks) to satisfy your particular requirements for the accuracy of data input and output.